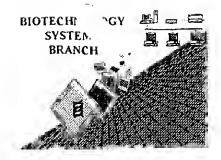
M. Dibr. 3

## RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/165, 546

Source: 1644

Date Processed by STIC: 2-7-01

RECEIVED

FEB 2 3 2001

TECH CENTER 1600/2900

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

## Checker Version 3.0

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1 821 – 1 825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-comptiant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

1644

RAW SEQUENCE LISTING TIME: 16:09:11 PATENT APPLICATION: US/09/165,546 Input Set : A:\#711529 v1 - LUD 5466.4 SEQUENCE LISTING.txt Does Not Comply Corrected Diskette Needed Output Set: N:\CRF3\02072001\1165546.raw see pp. 1, 2,3 SEQUENCE LISTING 1 (1) GENERAL INFORMATION: (i) APPLICANT: Knuth, Alexader; Jager, Elke; Chen, Yao, C--> Canlan, Matt; Gure, Ali, Old, Lloyd, Ritter, Gerd (ii) TITLE OF INVENTION: ISOLATED PEPTIDES CORRESPONDING TO 5 AMINO ACID SEQUENCES OF NY-ESO-1, WHICH BIND TO ĥ MHC CLASS I AND MHC CLASS II MOLECULES, AND 7 USES THEREOF 8 (iii) NUMBER OF SEQUENCES: 14 10 (iv) CORRESPONDENCE ADDRESS: 12 (A) ADDRESSEE: FULBRIGHT & JAWORSKI LLP 13 (B) STREET: 666 Fifth Avenue 14 (C) CITY: New York City 15 16 (D) STATE: New York (E) Country: DEY USA 17 (F) ZIP: 10158 18 20 (V) COMPUTER READABLE FORM: (A) MEDIUM TYPE: Diskette, 3.5 inch, 144 kb storage 21 (B) COMPUTER: IBM (C) OPERATING SYSTEM: PC-DOS 23 (D) SOFTWARE: WordPerfect 24 (vi) CURRENT APPLICATION DATA: 26 27 (A) APPLICATION NUMBER: US/09/165,546 (B) FILING DATE: 02-Oct-1998 > 28 29 (C) CLASSIFICATION: 530 39 (vii) PRIOR APPLICATION DATA: (A) APPLICATION NUMBER: 08/937,263 32 (B) FILING DATE: April 17, 1998 33 (A) APPLICATION NUMBER: 08/937,263 36 (B) FILING DATE: September 15, 1997 37 (A) APPLICATION NUMBER: US 08/752,182 40 (B) FILING DATE: 03-October-1996 41 (viii) ATTORNEY/AGENT INFORMATION: 43 (A) NAME: Hanson, Norman D. (B) REGISTRATION NUMBER: 30,946 45 (C) REFERENCE/DOCKET NUMBER: LUD 5466.3 46 (ix) TELECOMMUNICATION INFORMATION: 48 49 (A) TELEPHONE: (212) 688-9200 50 (B) TELEFAX: (212) 838-3884 ERRORED SEQUENCES 52 (2) INFORMATION FOR SEQ ID NO: I: 53 (i) SEQUENCE CHARACTERISTICS: (B) TYPE: nuclear acid > nucleic acid? 54

DATE: 02/07/2001

E--> 55

RAW SEQUENCE LISTING DATE: 02/07/2001
PATENT APPLICATION: US/09/165,546 TIME: 16:09:11
Input Set : A:\#711529 v1 - LUD 5466.4 SEQUENCE LISTING.txt
Output Set: N:\CRF3\02072001\I165546.raw
56 (C) STRANDEDNESS: double
57 (D) TOPOLOGY: linear 58 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:
60 ATCCTCGTGG GCCCTGACCT TCTCTCTGAG AGCCGGGCAG AGGCTCCGGA GCC 53
62 ATG CAG GCC GAA GGC CGG GGC ACA GGG GGT TCG ACG GGC GAT GCT 98 63 Met Gln Ala Glu Gly Arg Gly Thr Gly Ser Thr Gly Asp Ala
64 5 10 15
PATENT APPLICATION: US/09/165,546 TIME: 16:09:11  Input Set: A:\#711529 v1 - LUD 5466.4 SEQUENCE LISTING.txt Output Set: N:\CRF3\02072001\I165546.raw  56 (C) STRANDEDNESS: double 57 (D) TOPOLOGY: linear 58 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1: 60 ATCCTCGTGG GCCCTGACCT TCTCTCTGAG AGCCGGCAG AGGCTCCGGA GCC 53 ATC CAG GCC GAA GGC CGG GGC ACA GGG GGT TCG ACG GGC GAT GCT 63 Met Gln Ala Glu Gly Arg Gly Thr Gly Gly Ser Thr Gly Asp Ala 64 5 10 15 66 GAT GGC CCA GGA GGC CCT GGC ATT CCT GAT GGC CCA GGG GGC AAT 67 Asp Gly Pro Gly Gly Pro Gly Ile Pro Asp Gly Pro Gly Gly Asn 68 20 25
68 20 25 30
70 GCT GGC GGC CCA GGA GAG GCG GGT GCC ACG GGC AGA GGT CCC 188
71 Ala Gly Gly Pro Gly Glu Ala Gly Ala Thr Gly Gly Arg Aly Pro 72 35 40 45
74 CGG GGC GCA GGG GCA AGG GCC TCG GGG CCG GGA GGA GGC GCC 233
75 Arg Gly Ala Gly Ala Ala Arg Ala Ser Gly Pro Gly Gly Gly Ala 76 50 55 60
78 CCG CGG GGT CCG CAT GGC GGC GCG GCT TCA GGG CTG AAT GGA TGC 278
79 Pro Arg Gly Pro His Gly Gly Ala Ala Ser Gly Leu Asn Gly Cys
80 65 70 75 82 TGC AGA TGC GGG GCC AGG GGG CCG GAG AGC CGC CTG CTT GAG TTC 323
83 Cys Arg Cys Gly Ala Arg Gly Pro Glu Ser Arg Leu Leu Glu Phe
84 80 80 90 . 86 TAC CTC GCC ATG CCT TTC GCG ACA CCC ATG GAA GCA GAG CTG GCC 368
87 Tyr Leu Ala Met Pro Phe Ala Thr Pro Met Glu Ala Glu Leu Ala
88 95 100 105 90 CGC AGG AGC CTG GCC CAG GAT GCC CCA CCG CTT CCC GTG CCA GGG 413
91 Arg Arg Ser Leu Ala Gln Asp Ala Pro Pro Leu Pro Val Pro Gly
92 110 115 120
94 GTG CTT CTG AAG GAG TTC ACT GTG TCC GGC AAC ATA CTG ACT ATC 458 95 Val Leu Leu Lys Glu Phe Thr Val Ser Gly Asn Ile Leu Thr Ile
96 125 130 135
98 CGA CTG ACT GCT GCA GAC CAC CGC CAA CTG CAG CTC TCC ATC AGC 503 99 Arg Leu Thr Ala Ala Asp His Arg Gln Leu Gln Leu Ser Ile Ser
100 140 145 150
102 TCC TGT CTC CAG CAG CTT TCC CTG TTG ATG TGG ATC ACG CAG TGC 548
103 Ser Cys Leu Gln Gln Leu Ser Leu Leu Met Trp Ile Thr Gln Cys 104 155 160 165
106 TTT CTG CCC GTG TTT TTG GCT CAG CCT CCC TCA GGG CAG AGG CGC 593
107 Phe Leu Pro Val Phe Leu Ala Gln Pro Pro Ser Gly Gln Arg Arg 108 170 175 180
110 TAA GCCCAGCCTG GCGCCCCTTC CTAGGTCATG CCTCCTCCCC TAGGGAATGG 646
111 TCCCAGCACG AGTGGCCAGT TCATTGTGGG GGCCTGATTG TTTGTCGCTG GAGGAGGACG 112 GCTTACATGT TTGTTTCTGT AGAAAATAAA ACTGAGCTAC GAAAAA 752
112 GCTTACATGT TIGHTICTGT AGAAAATAAA ACTGAGCTAC GAAAAA 116 (2) INFORMATION FOR SEQ ID NO: 2:
(i) SEQUENCE CHARACTERISTICS:
118 (A) LENGTH: 31 base pairs 119 (B) TYPE: nuclear acid — Nucleic acid?
120 (C) STRANDEDNESS: single

(D) TOPOLOGY: linear

E-->

121

PECEIVED
TECHCENTER 1600/2000 RAW SEQUENCE LISTING DATE: 02/07/2001 PATENT APPLICATION: US/09/165,546 TIME: 16:09:11 Input Set : A:\#711529 v1 - LUD 5466.4 SEQUENCE LISTING.txt Output Set: N:\CRF3\02072001\I165546.raw 122 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2: 124 CACACAGGAT CCATGGATGC TGCAGATGCG G 31 128 (2) INFORMATION FOR SEQ ID NO: 3: 129 (i) SEQUENCE CHARACTERISTICS: > nucleic acid? 130 (A) LENGTH: 32 base pairs E--> 131 (B) TYPE: nuclear acid ---132 (C) STRANDEDNESS: single 133 (D) TOPOLOGY: linear (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3: 134 136 CACACAAAGC TTGGCTTAGC GCCTCTGCCC TG 32 172 (2) INFORMATION FOR SEQ ID NO: 7: (i) SEQUENCE CHARACTERISTICS: 174 (A) LENGTH: 10 amino acids 4 (B) TYPE: Delete semi-colon, replace with colon
(D) TOPOLOGY: linear E--> 175 176 177 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7: 180 Leu Leu Met Trp Ile Thr Gln Cys Phe Leu 5 209 (2) INFORMATION FOR SEQ ID NO: 10: (i) SEQUENCE CHARACTERISTICS: 210 Delete semi-colon, replace with colon 211 (A) LENGTH: 18 amino acids (B) <u>TYPE:</u> \_\_\_\_ E--> 212 (D) TOPOLOGY: linear (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 10: 216 Pro Leu Pro Val Pro Gly Val Leu Leu Lys Glu Phe Thr Val Ser Gly 217 10 219 Asn Ile 264 (2) INFORMATION FOR SEQ ID NOT: 14: (i) SEQUENCE CHARACTERISTICS 266 (A) LENGTH: 6 amino acids 267 (B) TYPE: amino acid (D) TOPOLOGY: linear 268 E--> 269 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7: 271 Leu Leu Met Trp Ile Thr

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/165,546

DATE: 02/07/2001 TIME: 16:09:12

Input Set : A:\#711529 v1 - LUD 5466.4 SEQUENCE LISTING.txt

Output Set: N:\CRF3\02072001\I165546.raw

L:2 M:220 C: Keyword misspelled or invalid format, [(i) APPLICANT:]
L:27 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:]
L:28 M:220 C: Keyword misspelled or invalid format, [(B) FILING DATE:]
L:54 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=1
L:118 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=2
L:130 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=3
L:175 M:220 C: Keyword misspelled or invalid format, [(B) TYPE:]
L:175 M:220 C: Keyword misspelled or invalid format, Poss data loss, Seq 7, (B) TYPE:
L:174 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=7
L:186 M:220 C: Keyword misspelled or invalid format, [(i) SEQUENCE CHARACTERISTICS:]
L:212 M:220 C: Keyword misspelled or invalid format, [(B) TYPE:]
L:212 M:220 C: Keyword misspelled or invalid format, Poss data loss, Seq 10, (B) TYPE:
L:211 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=10

L:269 M:212 E: (34) Invalid or duplicate Sequence ID Number, Data=[7:]